



Sheet 1 of 1

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NO.

826.1633D

APPLICATION NO.

10/823,634

LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

FIRST NAMED INVENTOR

Shinya INAGAKI, et al.

FILING DATE

April 14, 2004

GROUP ART UNIT

2874

U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | | DOCUMENT NO. | DATE | NAME | CLASS | SUB- CLASS | FILING DATE |
|----------------------|----|-----------------|------|------|-------|---------------|----------------|
| | AA | | | | | | |
| | AB | | | | | | |
| | AC | | | | | | |
| | AD | | | | | | |
| | AE | | | | | | |
| | AF | | | | | | |

FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NO. | DATE | COUNTRY | TRANSLATION YES NO | | ABSTRACT |
|----|----|-----------------|---------|---------|-----------------------|--|----------|
| JD | AG | EP 0732819 | 9/18/96 | EPO | ✓ | | |
| JD | AH | EP 0902558 | 3/17/99 | EPO | ✓ | | |
| | AI | | | | | | |
| | AJ | | | | | | |
| | AK | | | | | | |
| | AL | | | | | | |

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

TRANSLATION
YES NO

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| | AM | | |
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EXAMINER

Jennifer Boan

DATE CONSIDERED

4/17/05

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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| FORM PTO-1449 | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | ATTORNEY DOCKET NO. 826.1633D | APPLICATION NO. |
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| | | FILING DATE April 14, 2004 | GROUP ART UNIT |

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NO. | DATE | NAME | CLASS | SUB- CLASS | FILING DATE |
|---------------------|----|-----------------|----------|-----------|-------|---------------|----------------|
| JD | AA | 5,838,849 | 11/17/98 | Shirasaki | T | T | T |
| JD | AB | 5,969,866 | 10/19/99 | Shirasaki | | | |
| JD | AC | 5,973,838 | 10/26/99 | Shirasaki | | | |
| JD | AD | 5,982,488 | 11/09/99 | Shirasaki | | | |
| JD | AE | 5,999,320 | 12/07/99 | Shirasaki | | | |
| JD | AF | 5,969,865 | 08/13/97 | Shirasaki | | | |
| JD | AG | 5,930,045 | 07/27/99 | Shirasaki | ↓ | ↓ | ↓ |

FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NO. | DATE | COUNTRY | CLASS | SUB- CLASS | TRANSLATION YES NO | |
|----|----|-----------------|----------|---------|-------|---------------|-----------------------|--|
| JD | AH | WO 99/30445 | 6/17/99 | PCT | T | T | | |
| JD | AI | EP 1 049275A1 | 11/12/00 | EPO | | | | |
| JD | AJ | JP-A-09-043057 | 02/14/97 | Japan | | | | |
| JD | AK | WO 98/35259 | 08/13/98 | PCT | | | | |
| JD | AL | WO 99/09448 | 02/25/99 | PCT | ↓ | ↓ | | |

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

TRANSLATION
YES NO

| | | | | |
|----|----|--|--|--|
| JD | AN | M. Shirasaki, "Chromatic Dispersion Compensation Using Virtually Imaged Phased Array", Optical Amplifiers and Their Applications, Paper PDP-8, July, 1997. | | |
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| EXAMINER Jennife Poan | DATE CONSIDERED 4/11/05 |
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| *EXAMINER INITIAL | | DOCUMENT NO. | DATE | NAME | CLASS | SUB- CLASS | FILING DATE |
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| JD | BA | 5,568,583 | 10-1996 | Akasaka et al. | T | T | T |
| JD | BB | 5,673,354 | 09-1997 | Akasaka et al. | T | T | T |
| JD | BC | 5,956,440 | 09-1999 | Mikami et al. | T | T | T |
| JD | BD | 6,009,221 | 12-1999 | Tsuda, Toshiaki | T | T | T |
| JD | BE | 6,031,955 | 02-2000 | Mukasa et al. | T | T | T |
| JD | BF | 6,311,002 | 10-2001 | Evangelides et al. | T | T | T |
| JD | BG | 6,393,188 | 05-2002 | Jeong et al. | T | T | T |

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| | | DOCUMENT NO. | DATE | COUNTRY | CLASS | SUB- CLASS | TRANSLATION YES NO |
|--------------|----|-----------------|------|---------|-------|---------------|-----------------------|
| / | BH | | | | | | |
| / | BI | | | | | | |
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| / | BM | | | | | | |

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

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| EXAMINER <i>Jennifer Duan</i> | DATE CONSIDERED 4/11/05 |
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